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State of Florida COMMISSION ON ETHICS P.O. Drawer 15709 Tallahassee, Florida 32317-5709

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"A Public Office is a Public Trust"

Virlindia Doss Executive Director

C. Christopher Anderson, III

General Counsel/

Deputy Executive Director

(850) 488-7864 Phone (850) 488-3077 (FAX) www.ethics.state.fl.us

July 29, 2015

The Honorable Rick Scott Governor, State of Florida The Capitol, 400 S. Monroe St. Tallahassee, Florida 32399-0001

Re: Referral No. 14-001R, In re JENNIFER CARROLL

Dear Governor Scott:

The Florida Commission on Ethics has completed a full and final investigation of a referral involving Ms. Jennifer Carroll, who served as Lieutenant Governor and as a member of the Florida House. Pursuant to Section 112.324(8), Florida Statutes, we are reporting our findings and recommending appropriate disciplinary action to you in this case. Enclosed are copies of our final order and of our file in this matter. As we have found pursuant to a stipulation that Ms. Carroll violated the Sunshine Amendment and the Code of Ethics in the manner described by our order, we recommend that you impose a civil penalty upon her in the amount of \$1,000 (one thousand dollars), a penalty which she has agreed to be subjected to and to pay. If we may be of any assistance to you in your deliberations, please do not hesitate to contact us. We would appreciate your informing us of the manner in which you dispose of this matter. For information regarding collection of the civil penalty, please contact the Office of the Attorney General, Ms. Melody A. Hadley, Assistant Attorney General.

Sincerely.

Virlindia Doss
Executive Director

VAD/cca

Enclosures

cc: Ms. Jennifer Carroll, Respondent

Ms. Melody A. Hadley, Commission Advocate

Florida Department of Law Enforcement, Referring Agency

DATE FILED

BEFORE THE STATE OF FLORIDA COMMISSION ON ETHICS

JUL 2 9 2015 COMMISSION ON ETHICS

In re JENNIFER CARROLL,)	
)	Referral No. 14-001R
)	
Respondent.)	
)	
)	Final Order No. 15-026

FINAL ORDER AND PUBLIC REPORT

The State of Florida Commission on Ethics, meeting in public session on July 24, 2015, adopted the Joint Stipulation of Fact, Law, and Recommended Order entered into between the Advocate for the Commission and the Respondent in this matter.

In accordance with the Stipulation, which is attached hereto and incorporated by reference, the Commission finds that the Respondent, who served as Lieutenant Governor of the State of Florida and as a member of the Florida House of Representatives, violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6F, "Final Full and Public Disclosure of Financial Interests," and by filing an inaccurate 2010 CE Form 6, "Full and Public Disclosure of Financial Interests."

For the violations, in accordance with the Stipulation, the Commission hereby recommends a civil penalty in the total amount of \$1,000, a penalty which the Respondent has agreed to be subjected to and to pay.

ORDERED by the State of Florida Commission on Ethics meeting in public session on

Date Rendered)

For legality M. Wester

Ms. Jennifer Carroll, Respondent cc:

Ms. Melody A. Hadley, Commission Advocate

Florida Department of Law Enforcement, Referring Agency

FLORIDA COMMISSION ON ETHICS JUL 0 7 2015 RECEIVED

BEFORE THE STATE OF FLORIDA COMMISSION ON ETHICS

CONFIDENTIAL

in re:	Jennifer Carroll		
	Respondent.	Referral No.	14-001R

JOINT STIPULATION OF FACT, LAW, AND RECOMMENDED ORDER

Respondent, Jennifer Carroll, and the Advocate for the Florida Commission on Ethics enter into this Joint Stipulation of Fact, Law, and Recommended Order with respect to the above-styled referral. Subject to acceptance by the Commission on Ethics, the parties agree that they enter into this stipulated settlement in lieu of further hearings in this cause. The parties stipulate as follows:

STIPULATED FINDINGS OF FACT

- 1. Respondent served as Lieutenant Governor of the State of Florida and a member of the Florida House of Representatives and therefore is subject to the provisions of the Code of Ethics for Public Officers and Employees, Part III, Chapter 112, Florida Statutes.
- On April 16, 2014, the Commission on Ethics received a referral from the Florida
 Department of Law Enforcement concerning Respondent. The Commission voted to investigate
 the referral.
- 3. Pursuant to Section 112.324, Florida Statutes, and Commission Rule Chapter 34-17, Florida Administrative Code, the Executive Director of the Commission on Ethics ordered a preliminary investigation of the referral for a probable cause determination of whether Respondent had violated the Code of Ethics. The Report of Investigation was released on April 20, 2015.

4. On June 5, 2015, the Commission on Ethics found probable cause to believe Respondent violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes. The allegations are:

Respondent violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6F, "Final Full and Public Disclosure of Financial Interests."

Respondent violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6, "Full and Public Disclosure of Financial Interests."

- 5. Respondent admits the facts as set forth in the Report of Investigation, which is specifically incorporated by reference in the Joint Stipulation. Respondent advised that she had no intent to withhold income information. She disclosed Allied Veterans as a "Secondary Source of Income" on her forms.
- 6. Respondent filed a 2010 CE Form 6X, "Amendment to Full and Public Disclosure of Financial Interests," to include the missing information. Respondent understands that the filing of a CE Form 6X does not negate a violation.

STIPULATED CONCLUSIONS OF LAW

- Respondent is subject to the provisions of Part III, Chapter 112, Florida Statutes,
 the Code of Ethics for Public Officers and Employees.
- 8. The Commission on Ethics has jurisdiction over the referral as filed in this proceeding and over Respondent.
- 9. Respondent violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6F, "Final Full and Public Disclosure of Financial Interests."
 - 10. Respondent violated Article II, Section 8, Florida Constitution, and Section

112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6, "Full and Public Disclosure of Financial Interests."

STIPULATED RECOMMENDED ORDER

- 11. Respondent admits the allegations as set forth in paragraph four (4) of the Stipulated Findings of Fact, above.
 - 12. The Advocate accepts Respondent's stipulation in this proceeding.
- 13. The Advocate and Respondent have entered into this Joint Stipulation and urge the Commission on Ethics to approve it in lieu of further hearings before the Commission in this cause.
 - 14. Therefore, the Advocate recommends that:
- (a) The Commission on Ethics approve this Joint Stipulation, embodying the stipulations, admissions, and recommendations of the parties; and
- (b) The Commission on Ethics enter a Final Order and Public Report consistent with this Stipulation finding that Respondent violated Article II, Section 8, Florida Constitution, and Section 112.3144, Florida Statutes, by filing an inaccurate 2010 CE Form 6F, "Final Full and Public Disclosure of Financial Interests," and by filing an inaccurate 2010 CE Form 6, "Full and Public Disclosure of Financial Interests," and recommending:

a civil penalty of \$1000.00.

FURTHER STIPULATIONS

15. Respondent and the Advocate stipulate and covenant that they have freely and voluntarily entered into this Joint Stipulation of Fact, Law, and Recommended Order with full knowledge and understanding of its contents. Respondent and the Advocate further stipulate and covenant that this Joint Stipulation constitutes the full agreement of the parties and that there are

no oral or written understandings between the parties other than those contained in this Joint

Stipulation of Fact, Law, and Recommended Order.

16. Respondent and the Advocate stipulate and covenant that, in consideration of the

provisions of this Joint Stipulation of Fact, Law, and Recommended Order, Respondent and the

Advocate accept and will comply with the above-referenced Final Order and Public Report of the

Commission on Ethics.

17. Respondent and the Advocate stipulate that this Joint Stipulation of Fact, Law,

and Recommended Order is submitted to the Commission on Ethics for its consideration and

ratification. In the event that it is not approved by the Commission on Ethics as written, this

document shall be of no purpose and effect and shall not be deemed an admission by

Respondent.

18. Effective upon approval of this Joint Stipulation of Fact, Law, and Recommended

Order by the Commission on Ethics, Respondent waives all time, notice, hearing rights,

requirements, and entitlements, as to all subsequent hearings in this proceeding.

Signed, dated and entered into:

this landay of July, 2015.

Melody A. Hadley

Advocate for the Florida

Commission on Ethics

Florida Bar No. 0636045

Office of the Attorney General

The Capitol, PL-01

Tallahassee, Florida 32399-1050

(850) 414-3300

this loday of July ,2015

Jennifer Carroll

Respondent P.O. Box 8852

Fleming Island, Florida 32006-0019